

#4 11/30/01
EDS. TCB

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE PATENT APPLICATION OF: Wills et al. **OUR FILE NO:** 10-426 US
SERIAL NUMBER: New Application **GROUP:** New application
FILED: New Application **EXAMINER:** New Application
TITLE: ISOLATED POLARIZATION BEAM SPLITTER AND COMBINER

JC903 U.S. PTO
09/901580
07/11/01

Information Disclosure Statement

Honorable Commissioner of Patents and Trademarks
Washington, D.C. 20231

Dear Sir:

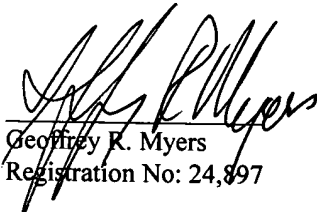
Transmitted herewith is an Information Disclosure Statement (Form PTO-1449) in the above-captioned application with references.

Certification

- X This Information Disclosure Statement is submitted within three months of:
- (i) the filing date of the above-identified U.S. National Patent application, or
 - (ii) the date of entry into the U.S. National Stage of the above-identified International Application, or
 - (iii) the date of entry into the U.S. National Stage of the International Application that has been assigned the above-identified U.S. Patent application number, whichever applies.
- _____ This Information Disclosure Statement is submitted prior to the mailing date of the first Office Action on the merits received by Applicant in the above-identified application.
- _____ This Information Disclosure Statement is submitted after three months from
- (i) the filing date of the above-identified U.S. National Patent application, or
 - (ii) after three months from entry into the U.S. National Stage of the above-identified International Application; or
 - (iii) the date of entry into the U.S. National Stage of the International Application that has been assigned the above-identified U.S. Patent application number, whichever applies; and after the mailing date of the first Office Action on the merits of the above-identified application, but prior to issuance of the earlier of any Final Action or Notice of Allowance sent in such application. The certification under 37 C.F.R. § 1.97(e) is submitted separately or below, or the fee required under 37 C.F.R. § 1.97(c) and § 1.17(p) is submitted herewith.
- _____ This Information Disclosure Statement is submitted after the earlier of the mailing date of a final rejection or Notice of Allowance sent in this application but before payment of the Issue Fee. The certification required under 37 C.F.R. § 1.97(e) is submitted separately or below. A petition to the Commissioner and the appropriate fee pursuant to § 1.17(i) (1) are submitted herewith.

- _____ A certification under 37 C.F.R. §1.97 is submitted herewith separately from this paper.
- _____ It is hereby certified that each item of information contained in this statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- _____ No item of information contained in this statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, after making reasonable inquiry, was known to any individual designated in 37 C.F.R. §1.56(c) more than three months prior to the filing of this statement.

Respectfully submitted,


Geoffrey R. Myers
Registration No: 24,897

7.11.2001
Date:

Hall, Priddy, Myes & Vande Sande
10220 River Road, Suite 200
Potomac, MD
U.S.A., 20854

Tel: (301) 983-2500
Fax: (301) 983-2100